DIID 711 ETHICAL ISSUES IN DENTISTRY
EXAMINATION INSTRUCTIONS
SEPTEMBER 19, 2014

1. Check that your name is correct above and on the answer sheet.

2. The exam contains 50 multiple choice questions. **Read each question carefully before answering.**

3. Select the **best answer** for each multiple choice question and record your answer on the answer sheet using a #2 pencil. The multiple choice questions are worth one (1) points each. For multiple choice questions you will not get credit for writing a correct answer on the exam but selecting an incorrect answer on the answer sheet.

4. There will be **NO** content questions answered during the exam.

5. This exam is worth 50 points and is worth 50% of your grade for the course.

6. Use your time **wisely.** **All exams will be collected at 8:55 AM**

7. **GOOD LUCK!**
1. The **ADA Code of Ethics** recognizes 5 main principles and their associated Codes of Professional Conduct. These include all of the following **EXCEPT**:
   a. Beneficence
   b. Integrity
   c. Nonmaleficence
   d. Justice
   e. Veracity

2. Codes of Ethics generally address 3 professional relationships and their associated ethical obligations. These include all of the following **EXCEPT**:
   a. Professional and Professional
   b. Professional and Client
   c. **Professional and Family**
   d. Professional and Society
   e. Both c and d

3. In the ADA Code the Principle of Nonmaleficence deals with such issues as:
   a. **Continuing professional education**
   b. Patient selection
   c. Handling of Patient records
   d. Patient’s rights to refuse treatment
   e. All of the above

4. In the ADA Code, the Principle of Veracity deals with such issues as:
   a. Emergency services
   b. Patient selection
   c. Criticism of a colleague
   d. Second opinions
   e. **Advertising**

5. The ADA Code must be abided to as a condition of membership in the ADA. Non-ADA members should also adhere to the code as a standard for the dental profession:
   a. **Both statements are true**
   b. The first statement is true and the second statement is false
   c. The second statement is false and the first statement is true
   d. Both statements are false

6. All of the following are objectives of the **Care Model** of doing business except:
   a. **Caveat Emptor**
   b. Money is derivative
   c. Decisions based on scientific evidence
   d. Cooperative
   e. Consumer is treated as an “end” not a “means”
7. Which of the following make the theory of Principlism relevant to helping us solve bioethical problems?
   a. The principles involved in this theory are considered “prima facie”
   b. It’s more of a common sense approach to bioethical problem solving
   c. Individual patient autonomy is not important
   d. Principles do not need to be balanced
   e. A and B

8. The Principle of Autonomy encompasses which of the following values?
   a. Treat patients according to patient desires
   b. Stay within the bounds of accepted treatment
   c. Protect patient’s confidentiality
   d. A and C only
   e. A, B, C

9. In the ADA Code, the Principle of Beneficence deals with such issues as:
   a. Community service
   b. Reporting abuse and neglect
   c. Selection of patients
   d. A and B only
   e. A, B, C

10. According to the lecture, Professionalism is:
    a. An easily defined, clear cut concept.
    b. Something that evolves over time
    c. Membership in a society with other professionals.
    d. A person’s manner and appearance.
    e. A and D

11. All of the following characteristics are identified as being part of a profession except for one. Which one is that exception?
    a. Being responsible for delivering an important service for the benefit of others.
    b. Possessing specialized knowledge and skill.
    c. Serving others before self.
    d. Striving for continual self-improvement
    e. Caveat emptor

12. A dental professional’s code of ethics represents
    a. A legal contract with patients.
    b. Guidelines that represent the profession’s attempt to self-regulate and protect the public.
    c. Guidelines that must be followed by members of the ADA, but are not binding on individuals that are not members of the ADA.
    d. Aspirational statements that are not expected to influence clinician behavior.
13. All of the following are social trends that are a threat to dentistry’s status as a profession except for one. Which one is that exception?
   a. The social media explosion
   b. Commercial influences such as advertising.
   c. The growing number of dental schools.
   d. Scope of practice issues

14. Evaluate the following statements:
   According to the material presented in lecture, dentists are generally trusted by the public.
   Trust in the profession is essential to the societal contract.
   a. The first statement is true and the second statement is false.
   b. The first statement if false and the second statement is true.
   c. Both statements are true.
   d. Both statements are false.

15. In addition to the ADA Code, the American College of Dentists has a Code of Ethics. In that code, they list 9 Core Values that are vital to the practice of dentistry. These include:
   a. Tolerance
   b. Compassion
   c. Competence
   d. A and B only
   e. A, B and C

16. MOST of the time when managing an ethical dilemma, it is helpful to do all of the following EXCEPT:
   a. Be aware of possible personal biases
   b. Be knowledgeable of professional obligations
   c. Apply a systematic approach to solving the problem
   d. Call the state dental board

17. Ozar places which of the following Central Values LAST in the hierarchy of priorities to consider in ethical decision making:
   a. Cost
   b. Life and health of the patient
   c. Esthetics
   d. Preferred practice values
   e. Patient autonomy

18. When attempting to collect relevant information in the process of ethical decision making (Step 2 as outlined in the Ozar, Sokol, Zarkowski Model), which of the following sources could be consulted?
   a. The law
   b. Wikipedia
   c. Standards of care
   d. A and C only
   e. A, B, and C
19. According to David Ozar, “Ethics is more fundamental than the law. That is, laws can be either ethical or unethical, but the converse is not true.” This statement is:
   a. True
   b. False

20. The philosophical theory of Deontology is:
   a. Associated with the Immanuel Kant
   b. Claims that a moral action is defined by the act itself, not its consequences
   c. Claims that consequences should determine the morality of the act
   d. A and B
   e. A and C

21. The Nuremberg Code:
   a. Was developed in 1979
   b. Was developed in 1947
   c. Was the beginning of informed consent as we know it
   d. Was developed as a result of the Tuskegee syphilis study in the U.S.
   e. B and C

22. When solving an ethical dilemma, it is ALWAYS important to:
   a. Identify the ethical principles involved
   b. Rank your options
   c. Identify a course of action
   d. A and C only
   e. A, B, C

23. Ozar places which of the following Central Values **FIRST** in the hierarchy of priorities to consider in ethical decision making:
   a. Appropriate pain free oral function
   b. Life and health of the patient
   c. Esthetics
   d. Preferred practice values
   e. Patient autonomy

24. You are at the SIU-SDM Class of 2018 reunion where you get into a lively discussion with two friends about the amount and kinds of medications that some of your patients are taking. As you all compare stories over a beer, you describe the case of your patient Ms. Lisa May and her 20 medications. Which ADA Principle of Ethics have you just violated?
   a. Autonomy
   b. Nonmaleficence
   c. Justice
   d. Veracity
   e. Beneficence
25. Dr. Rotter discussed the ethical dilemma involving a pregnant sixteen year old who needed oral surgery, and was scheduled to have inoculations in the next few weeks. Her family does not know that she is pregnant. In this case, which two ADA Principles are most prominent and in conflict?
   a. Autonomy and nonmaleficence
   b. Nonmaleficence and justice
   c. Justice and autonomy
   d. Veracity and beneficence
   e. Beneficence and justice

26. Which ACD Core Value best identifies with "The dentist refrains from harming the patient by referring to those with specialized expertise when the dentist’s own skills are insufficient"?
   a. Justice
   b. Compassion
   c. Competence
   d. Integrity
   e. Beneficence

27. In the “ACD Test for Ethical Decisions”, ACD stands for:
   a. Assess, Construct, Define
   b. Address, Communicate, Decide
   c. Assess, Communicate, Decide
   d. Assess, Construct, Delineate

28. Which of the following ADA Principles is not listed as a specific ACD Core Value?
   a. Autonomy
   b. Nonmaleficence
   c. Beneficence
   d. Justice
   e. Veracity

29. Which of the ADA Principles of Ethics applies to patient abandonment?
   a. Autonomy
   b. Beneficence
   c. Justice
   d. Nonmaleficence
   e. Veracity

30. The ADA Principle of ____________ promotes the concept that the dental profession should actively seek allies throughout society on specific activities that will help improve access to care for all.
   a. Autonomy
   b. Beneficence
   c. Nonmaleficence
   d. Justice
   e. Veracity
31. Under the ADA Code, it is mandated to report a colleague to the appropriate professional reviewing agency or peer review committee when:
   a. There is an incident of gross maltreatment of a patient
   b. There is evidence of continually socializing with patients
   c. They are coming to work after a night of drinking alcohol
   d. They are advertising a lot
   e. All of the above

32. According to the ACD, romantic relationships with patients:
   a. Are a good way to ensure patient attendance.
   b. Could fail and result in losing a patient.
   c. Might exploit a patient’s vulnerability or affect a dentist’s judgment.
   d. Are acceptable as long as the staff is comfortable with it.
   e. May cause problems interpersonally, but are not an ethical infraction

33. A patient comes to you and has obvious periodontal problems. When you ask the patient about the problem, she says that her prior dentist told her not to worry about it. The ACD Core Value of veracity dictates:
   (1) That you should be honest and truthful with your patients
   (2) Tell the patient that her prior dentist is wrong.
   a. The first statement is true but the second one is false
   b. The first statement is false but the second one is true
   c. Both statements are true
   d. Both statements are false

34. Which ACD Core Value best identifies with "relieving pain and suffering is a common attribute of dental practice"?
   a. Beneficence
   b. Compassion
   c. Competence
   d. Integrity
   e. Justice

35. According to the ACD core values, ______ is often associated with fairness or giving to each his or her own due. Issues of fairness are pervasive in dental practice and range from elemental procedural issues such as who shall receive treatment first, to complex questions of who shall receive treatment at all.
   a. Beneficence
   b. Compassion
   c. Competence
   d. Integrity
   e. Justice

36. Paternalism:
   a. May come into play when patients who cannot make their own health care decisions
   b. Means literally to “act as a parent”
   c. Does not have a place in dentistry
   d. Should be applied at every dental patient visit
   e. A and B
37. You suspect a child in your practice has been abused at home. According to the ADA Code and the law, as a dentist you are required to report it to the appropriate agency in your state.
   a. True
   b. False
   c. It depends on the state

38. A dentist has been in practice for 20 years. He travels to continuing education courses in the most beautiful places every year but he has never actually attended the courses. He does, however, record the continuing education hours for licensure purposes. Which two ADA Principles of Ethics are most involved in this situation?
   1. Veracity
   2. Justice
   3. Nonmaleficence
   4. Beneficence

   a. 1 and 2
   b. 1 and 3
   c. 1 and 4
   d. 2 and 3
   e. 3 and 4

39. In Illinois, informed consent of a parent or guardian is not required for a minor in an emergency situation if in the opinion of the dentist, getting the consent is not feasible under the circumstances.

   a. True
   b. False

40. In Illinois, which of the following is (are) not required to report a suspected abused child?
   a. physician
   b. dentist
   c. day care worker
   d. social worker
   e. None of the above. All are required to report the suspected abuse of a child.

41. Under Illinois Law, a dentist’s license can be revoked or suspended for which of the following?
   1. Delegating duties of profession to non-licensed staff
   2. Habitual intoxication or addiction to drugs
   3. False advertising

   a. Only 1
   b. Only 2
   c. 1 and 2
   d. 2 and 3
   e. 1, 2 and 3
42. Under HIPAA, health care providers may disclose protected health information without authorization from patients for:
   a. Treatment (direct involvement)
   b. Payment
   c. Health care operations personnel involved with the patient
   d. All of the above
   e. None of the above

43. To protect against lawsuits, dentists should:
   a. Alter a dental record when necessary
   b. Not disclose errors to patients
   c. Maintain good dentist / patient relationships
   d. Document as little as possible
   e. All of the above

44. Digital communication and social media are posing challenges to the dental profession. According to lecture and the ACD Handbook, dentists should always:
   a. Maintain professional relationships with patients and not let digital communication compromise that
   b. “Friend” your patients to increase business
   c. Allow patients to see your personal information for transparency purposes
   d. Advertise, advertise, advertise!
   e. B and C

45. Negligence may occur when:
   a. Dentists practice at or above the standard of care
   b. Professional duties were breached
   c. Breach of professional duty caused harm
   d. All of the above
   e. B and C only

46. You may be accused of harassment if you engage in the following at work:
   a. Post “Play Boy” pinups on your private office wall
   b. Tell jokes that are slightly “off color”
   c. Consistently call your hygienist “sweetie” and she doesn’t like it
   d. B and C only
   e. All of the above

47. If you are found guilty of harassment by law, you may be ordered to:
   a. Pay for harms to victims
   b. Pay punitive damages
   c. Cease all future harassment
   d. Pay victim’s attorney fees
   e. All of the above
48. Any dentist or dental hygienist who willfully fails to report suspected child abuse or neglect as required by the Illinois Abused and Neglected Child Reporting Act shall be referred to the Department of Professional Regulation for action in accordance with the Illinois Dental Practice Act.

The filing of a false report is a Class A misdemeanor, punishable by a term of imprisonment for up to one year, or by a fine not to exceed $1000, or by both

a. The first statement is true and the second statement is false
b. The first statement is false and the second statement is true
c. Both statements are true
d. Both statements are false

49. Dentists should make reasonable arrangements for the treatment of emergency patients.

This is discussed in both the ADA Code under the principle of “Justice” and in the ACD Handbook under “Emergency Care”.

a. The first statement is true and the second statement is false
b. The first statement is false and the second statement is true
c. Both statements are true
d. Both statements are false

50. According to Dr. Dugoni (video shown in class)

a. Each patient experience is unique for that patient
b. You may influence patients in ways you never imagined by the care you provide them and the way you treat them

c. Dentistry should be practiced with the patient’s needs always at the forefront
d. Dentistry can be both a business and a profession
e. All of the above